



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Yusuke Ishii and Jingxi Zhang  
APPLICATION NO.: 10/010,761  
FILING DATE: November 30, 2001  
TITLE: Organization, Selection, and Application of Video Effects  
According to Zones  
EXAMINER: Tadesse Hailu  
GROUP ART UNIT: 2173  
ATTY. DKT. NO.: 18310-06404

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated: November 1, 2004

By: 

**Amir H. Raubvogel, Reg. No.: 37,070**

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**RESUBMISSION OF  
INFORMATION DISCLOSURE STATEMENT  
Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☐ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;
- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;

18310/06404/DOCS/1477319.1

11/05/2004 SSESHE1 00000101 10010761

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- ☒ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR §1.113; or (2) an action that otherwise closes prosecution in the application, and:
- ☒ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
- ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement;
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated

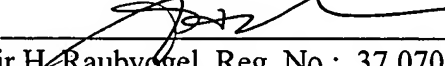
under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. \_\_\_\_\_, filed on \_\_\_\_\_, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ This application was filed after June 30, 2003. Therefore, pursuant to the waiver of the requirements under 37 CFR 1.98(a)(2)(i), copies of each U.S. Patent and each U.S. Patent Application Publication are not required to be submitted. Copies of any foreign patent documents and non-patent literature cited herein are enclosed.
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☐ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
Yusuke Ishii and Jingxi Zhang

Dated: November 1, 2004

By:   
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Complete if Known</b>	
				Application No.	10/010,761
				Filing Date	November 30, 2001
				First Named Inventor	Yusuke Ishii
				Art Unit	2173
				Examiner Name	Tadesse Hailu
Sheet	1	of	1	Attorney Docket Number	18310-06404

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number – Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		US-		
		US-		
		US-		
		US-		
		US-		
		US-		

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> (if known) Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

**OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS**

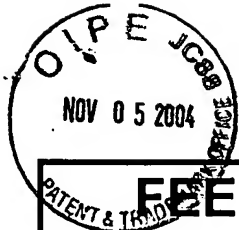
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>6</sup>
		Studio 7 Datasheet, Pinnacle Studio Version 7, Pinnacle Systems, Inc., 2001.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.



<b>FEE TRANSMITTAL</b> <b>for FY 2005</b> Patent fees are subject to annual revision. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>Complete if Known</b>		
		Application Number	10/010,761	
		Filing Date	November 30, 2001	
		First Named Inventor	Yusuke Ishii	
		Examiner Name	Tadesse Hailu	
TOTAL AMOUNT OF PAYMENT (\$)		1,480.00	Art Unit	2173
			Attorney Docket No.	18310-06404

<b>METHOD OF PAYMENT</b> (check all that apply) <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None Deposit Account: Deposit Account Number: 19-2555 Deposit Account Name: Fenwick & West LLP The Commissioner is authorized to: (check all that apply) <input type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Credit any overpayments <input checked="" type="checkbox"/> Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this application <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		<b>FEE CALCULATION</b> (continued)			
<b>1. BASIC FILING FEE</b> Large Entity   Small Entity Fee Code   Fee Code   Fee Description   Fee Paid 1001 790   2001 395   Utility filing fee   1002 350   2002 175   Design filing fee   1003 550   2003 275   Plant filing fee   1004 790   2004 395   Reissue filing fee   1005 160   2005 80   Provisional filing fee   SUBTOTAL (1) (\$) 0.00		<b>3. ADDITIONAL FEES</b> Large Entity   Small Entity   Fee Description   Fee Paid 1051 130   2051 65   Surcharge - late filing fee or oath or declaration   1052 50   2052 25   Surcharge - late provisional filing fee or cover sheet   1053 130   1053 130   Non-English specification   1812 2,520   1812 2,520   For filing a request for <i>ex parte</i> reexamination   1804 920*   1804 920*   Requesting publication of SIR prior to Examiner action   1805 1,840*   1805 1,840*   Requesting publication of SIR after Examiner action   1251 110   2251 55   Extension for reply within first month   1252 430   2252 215   Extension for reply within second month   1253 980   2253 490   Extension for reply within third month   1254 1,530   2254 765   Extension for reply within fourth month   1255 2,080   2255 1,040   Extension for reply within fifth month   1401 340   2401 170   Notice of Appeal   1402 340   2402 170   Filing a brief in support of an appeal   1403 300   2403 150   Request for oral hearing   1451 1,510   1451 1,510   Petition to institute a public use proceeding   1452 110   2452 55   Petition to revive - unavoidable   1453 1,370   2453 685   Petition to revive - unintentional   1501 1,370   2501 685   Utility issue fee (or reissue)   1502 490   2502 245   Design issue fee   1503 660   2503 330   Plant issue fee   1460 130   1460 130   Petitions to the Director   1807 50   1807 50   Processing fee for Provisional Applications   1806 180   1806 180   Submission of Information Disclosure Stmt   180 8021 40   8021 40   Recording each patent assignment per property (times number of properties)   1809 790   2809 395   Filing a submission after final rejection (37 CFR 1.129(a))   1810 790   2810 395   For each additional invention to be examined (37 CFR 1.129(b))   1801 790   2801 395   Request for Continued Examination (RCE)   1802 900   1802 900   Request for expedited examination of a design application   Other fee (specify) _____			
<b>2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE</b> Total Claims: 88 -52** = 36 x 18 = 648 Independent Claims: 8 -4** = 4 x 88 = 352 Multiple Dependent: _____ = 300 Large Entity   Small Entity Fee Code   Fee Code   Fee Description   Fee Paid 1202 18   2202 9   Claims in excess of 20   1201 88   2201 44   Independent claims in excess of 3   1203 300   2203 150   Multiple dependent claim, if not paid   1204 88   2204 44   **Reissue independent claims over original patent   1205 18   2205 9   **Reissue claims in excess of 20 and over original patent   SUBTOTAL (2) (\$) 1,300.00		SUBTOTAL (3) (\$) 180.00			
**or number previously paid, if greater; For Reissues, see above		*Reduced by Basic Filing Fee Paid			

<b>SUBMITTED BY</b>			<b>Complete (if applicable)</b>	
Name (Print/Type)	Amir H. Raubvogel	Registration No. (Attorney/Agent)	37,070	Telephone (650) 335-7276
Signature				Date November 1, 2004